

Review article

Antifungal Prophylaxis in Immunocompromised Patients

Lourdes Vazquez

Hospital University of Salamanca (Salamanca, Spain).

Competing interests: The authors have declared that no competing interests exist.

Abstract. Invasive fungal infections (IFIs) represent significant complications in patients with hematological malignancies. Chemoprevention of IFIs may be important in this setting, but most antifungal drugs have demonstrated poor efficacy, particularly in the prevention of invasive aspergillosis.

Antifungal prophylaxis in hematological patients is currently regarded as the gold standard in situations with a high risk of infection, such as acute leukemia, myelodysplastic syndromes, and autologous or allogeneic hematopoietic stem cell transplantation. Over the years, various scientific societies have established a series of recommendations for antifungal prophylaxis based on prospective studies performed with different drugs. However, the prescription of each agent must be personalized, adapting its administration to the characteristics of individual patients and taking into account possible interactions with concomitant medication.

Citation: Vazquez L. Antifungal prophylaxis in immunocompromised patients. Mediterr J Hematol Infect Dis 2016, 8(1): e2016040, DOI: <u>http://dx.doi.org/10.4084/MJHID.2016.040</u>

Published: September 1, 2016

Received: May 17, 2016

Accepted: July 4, 2016

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (<u>https://creativecommons.org/licenses/by-nc/4.0</u>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Correspondence to: Lourdes Vazquez, MD. Hematology Department, Hospital University of Salamanca, Paseo de San Vicente 58-182, Salamanca, Spain. Tel: ++34 606 195327. E-mail: <u>lvazlo@usal.es</u>

Introduction. Invasive fungal infections (IFIs) are a leading infectious cause of morbidity and mortality in patients with hematological malignancies.¹ especially in the contexts of prolonged neutropenia and immunosuppressive treatment. Patients with diseases such as acute leukemia, myelodysplastic syndromes and those undergoing allogeneic hematopoietic stem cell transplant (allo-HSCT) are at major risk of acquiring IFIs.² Their incidence is particularly high in acute myeloid leukemia $(AML)^{3,4}$. In some settings, IFIs caused by molds are more frequent than those caused by yeasts, and Aspergillus spp. are the most common pathogens. The risk of invasive aspergillosis (IA) is not constant during all the phases of AML treatment: most AML patients usually experience IA after the first cycle of chemotherapy (first induction), since this is the first time that a colonized patient experiences

profound immunosuppression. An IFI during the first induction may dramatically compromise the subsequent therapeutic strategy for AML.^{5,6}

For this reason, antifungal prophylaxis of IFIs may have a major role in this setting; in the past, chemoprophylaxis with oral polyenes and old triazoles have shown poor efficacy. The availability of new triazoles (e.g., voriconazole, posaconazole), characterized by a wider spectrum, may have modified the role of antifungal prophylaxis in recent times. This review analyzes the efficacy of the various antifungal prophylaxes used over the years.¹

Scientific societies have established a series of recommendations for antifungal prophylaxis based on prospective studies performed with different drugs.^{1,7-9} The objective of these recommendations is to create an individualized prescription guideline by each patient's characteristics.

Choice of Antifungal Agent for Prophylaxis. Several articles had reviewed the role of the prophylaxis of IFIs in the era before the new antifungals became available.^{1,5} Topical therapy with oral polyenes has the potential to prevent candidiasis with less risk of side effects and drug interactions than systemic therapy. It has been found useful for preventing serious Candida infection in high-risk patients. However, this kind of prophylaxis has been disappointing, particularly against Aspergillus.

Some years ago, Uzun and Anaissie⁸ described some criteria to identify the optimal antifungal agent (Table 1): it should be safely administrable over long periods, effective, fungicidal against a broad spectrum of fungal pathogens, inexpensive, available in both oral and intravenous formulations, and associated with a low incidence of resistance. From these criteria, triazoles were identified as a very useful class of oral antifungal drugs, more suitable for chemoprophylaxis of IFIs than AmB and other drugs that are available only in intravenous (iv) formulation.

Fluconazole. Fluconazole was the first azole systematically used for chemoprophylaxis of IFIs. Due to its high level of systemic activity and low toxicity, fluconazole facilitated an earlier and prophylactic use of systemic antifungals, and it is

not contraindicated in patients receiving cyclosporine prophylaxis against graft-versus-host disease (GVHD). However, it is effective only at high doses, under which circumstances it is commonly associated with adverse reactions.⁷⁻⁹ Fluconazole is active against most Candida strains, although some strains are inherently resistant (e.g., C. krusei and C. glabrata).

<u>Itraconazole.</u> contrast fluconazole. In to itraconazole is active against Aspergillus spp.^{7,9} Two studies have compared the prophylactic activity of these two drugs in hematological patients undergoing allo-HSCT. In the first, itraconazole was administered as an oral solution, and a significant reduction in IFI incidence with no differences in fungal-free survival was observed.¹⁰ In the second study,¹¹ itraconazole was initially administered intravenously and then as an oral solution, resulting in fewer proven IFIs and lower fungal-related mortality, but similar overall mortality, compared with fluconazole after allo-HSCT.⁹ Mild gastrointestinal side effects were observed in the itraconazole arm of both studies.¹⁰

The study of the GIMEMA-infection group (Gruppo Italiano Malattie Ematologiche dell'Adulto) comparing itraconazole oral solution with placebo found no advantage to itraconazole on the incidence of invasive aspergillosis but did

 Table 1. Antifungal activity.

a i	Antifungal activity							
pecies FI		ITR	VOR	POS	CAS	MICA	ANI	AMB
Candida species (69,70, 75)								
C. albicans	++	+++	++++	++++	+++	++++	++++	+++
C. parapsilosis	++	+++	+++	+++	++	+	++	+++
C. tropicalis	++	+++	+++	+++	+++	+++	++++	+++
C. glabrata		++	++	++	+++	+++	+++	+++
C. krusei		+++	+++	+++	+++	+++	++++	++
Cryptococcus neoformans (69-72, 76)	+	+++	+++	+++	-	-	-	+++
Var. neoformans	+++ 1	+++	+++	+++				+++
Var. gattii	+++	+++	+++	+++				+++
Aspergillus species (69, 70)	2							
A. fumigatus		+++	+++	+++	+++	++++	++++	+++
A. flavus		+++	+++	+++	+++	++++	++++	++
A. terreus		+++	+++	+++	+++	++++	++++	
A. niger		++	++	+++	+++	++++	++++	+++
All Zygomycetes (69, 73, 74)				++				++
Rhizopus species		++	-	+++				+++
Mucor species	1	-	-	++				+++
Absidia species		+++		+++				+++

Minimum inhibitory concentrations causing 90% inhibition (MIC₉₀):++++ $\leq 0.1 \ \mu g/mL$; +++ $\leq 1.0 \ \mu g/mL$; ++ $\leq 4.0 \ \mu g/mL$; + $\leq 8 \ \mu g/mL$; -> 8 $\ \mu g/mL$; -- $\geq 16 \ \mu g/mL$. AMB, amphotericin b; ANI, anidulafungin; CAS, caspofungin; FLU, fluconazole; ITR, itraconazole; MICA, micafungin; POS, posaconazole; VOR, voriconazole. ¹MIC₅₀ data. ²Fluconazole MIC₉₀ for all molds=256 $\ \mu g/mL$.

report a significant reduction in candidemia.¹¹

The use of itraconazole as prophylaxis is limited by the drug's poor absorption when given in capsules, and by the gastrointestinal side effects when given as oral suspension.¹⁰⁻¹¹

The New Triazoles

Voriconazole. Voriconazole has been available for clinical use since 2003 and was initially used for the targeted treatment of Aspergillus SDD. infections. Some recent clinical trials have tried to demonstrate its additional efficacy in antifungal prophylaxis.^{6,12,13} In the first study of Vehreschild et al.⁶ a total of 25 AML patients were randomly assigned to receive voriconazole (N=10) or placebo (N=15). The incidence of lung infiltrates until day 21 was 0 (0%) in the voriconazole and 5 (33%) in the placebo group (P=0.06). The average length of stay in hospital was shorter in the voriconazole group (mean 31.9 days) than in the placebo group (mean 37.3 days, P=0.09) ML patients undergoing induction chemotherapy, prophylactic oral voriconazole 200 mg twice daily resulted in trends towards reduced incidences of lung infiltrates and hepatosplenic candidiasis. Voriconazole was safe and well tolerated. Afterward, a multicenter, randomized, doubleblind trial compared the ability of fluconazole (n=295) with voriconazole (n=305) for 100 days (180 days in higher-risk cases) to prevent IFIs in patients undergoing myeloablative allo-HSCT.¹³ The authors reported no significant differences in IFI incidence (7.3% vs. 11.2%), and empirical antifungal therapy use (24.1% vs. 30.2%), while fungal-free survival rates (75% vs. 78%) at 180 days and overall survival were similar in fluconazole and voriconazole; however, there were fewer Aspergillus infections in patients treated with voriconazole (9 vs. 17; p=0.05).¹² The prospective, randomized, open-label, multicenter study by Marks et al.¹⁴ compared the efficacy and safety of voriconazole (234 patients) with itraconazole oral solution (255 patients) in allo-HSCT recipients. The efficacy of prophylaxis was significantly higher with voriconazole than itraconazole (48.7%) vs. 33.2%; p<0.01); itraconazole patients were more likely to receive other systemic antifungals (41.9% vs. 29.9%; p<0.01) but more patients tolerated voriconazole prophylaxis for 100 days (53.6% vs. 39.0%; p<0.01). However, no differences in the incidence of proven/probable IFIs (1.3% vs. 2.1%) and

survival to day 180 (81.9% vs. 80.9%) were observed for voriconazole and itraconazole, respectively.¹³

These studies failed to show any significantly greater benefit from voriconazole than from itraconazole or fluconazole in antifungal prophylaxis.^{12,13}

<u>*Posaconazole.*</u> Posaconazole, which has been available for clinical use since 2007, is a newgeneration oral azole with in vitro activity against a broad spectrum of medically important fungi, including Candida spp., Aspergillus spp., Zygomycetes, and Fusarium.^{14,15}

In vitro susceptibility may vary among Zygomycetes and Fusarium species, and there are no in vivo data concerning the efficacy against these rare fungi.¹⁵ A randomized, multicenter single-blind study conducted by Cornely et al.⁷ evaluated the efficacy and safety of posaconazole (n=304) compared with fluconazole (n=240) and itraconazole (n=58) as prophylaxis for each cycle of chemotherapy (until recovery from neutropenia and complete remission, or for up to 12 weeks) in patients with AML or myelodysplastic syndrome and prolonged neutropenia.⁷ The primary endpoint was the incidence of proven/probable IFIs during treatment, and the secondary endpoints were death from any cause and time to death. With respect to the primary endpoint, proven/probable IFIs were observed in seven patients (2%) of the posaconazole group and 25 patients (8%) of the pooled standard triazole group (absolute reduction in the posaconazole group, -6%; 95% confidence interval, -9.7 to -2.5%; p < 0.001) during the ontreatment period (from randomization to 7 days after the last dose of the study drug). Significantly fewer patients in the posaconazole group had invasive aspergillosis (2 [1%] vs. 20 [7%]; p<0.001). Posaconazole maintained their superiority over pooled standard triazoles in preventing IFIs during the 100-day period after randomization: 14/304 (5%) vs. 33/298 (11%); p=0.003. Posaconazole was also significantly better than pooled standard triazoles, at preventing IA during the treatment phase (2 [1%] vs. 20 [7%]; p<0.001) and during the 100-day period after randomization or over a fixed time period (4 [1%] vs. 26 [9%]; p<0.001). Survival was significantly longer among recipients of posaconazole than among recipients of fluconazole or itraconazole (p=0.04). Serious adverse events possibly or

probably related to treatment Cornely⁶ reported by 19 patients (6%) in the posaconazole group and six patients (2%) in the fluconazole or itraconazole group in you study (p=0.01).^{6,16}

In another randomized, double-blind trial, Ullmann et al.¹⁶ compared oral posaconazole with oral fluconazole for prophylaxis against IFIs in 600 allo-HSCT recipients with GVHD treated with immunosuppressive therapy. At the end of the fixed treatment (day 112), the difference in incidence of all proven/probable IFIs between posaconazole and fluconazole arms was not significant (5.3% and 9.0%, respectively; p=0.07), but posaconazole was superior to fluconazole in preventing proven/probable IA (2.3% vs. 7.0%; p=0.006). During the exposure period (time from first dose to 7 days after the last dose), posaconazole significantly reduced the incidence of breakthrough proven/probable IFIs (2.4% vs. 7.6%; p=0.004) and IA (1.0% vs. 5.9%; p=0.001) vs. fluconazole. Overall mortality was similar in the two groups, but the number of deaths from invasive fungal infections was lower in the posaconazole group (1%, vs. 4%) in the fluconazole group; p=0.046). The incidence of treatment-related adverse events was similar in the two groups, such as the rates of treatment-related adverse events serious (13%) and 10%. respectively). Posaconazole proved to be clinically superior to other triazoles in preventing IFIs, especially aspergillosis (table 1). The antifungal agent of choice for the prophylaxis of invasive fungal infection is a triazole (voriconazole or posaconazole). ^{4,13-17} Itraconazole in oral solution is not considered suitable due its poor digestive tolerance.¹⁰ However, there is a series of possible metabolic interferences with other drugs that render the use of triazoles unadvisable if there is concomitant treatment with chemotherapy drugs such as vincristine,¹⁸ immunosuppression with

agents such as sirolimus or cyclosporine, QTprolonging drugs (**Table 2**), and CYP3A4 activityinducing drugs (**Table 2**).^{19,20}

Another situation in which a triazole may not be the best alternative is the existence of liver function alterations defined by transaminase levels five times the normal value.^{17,19,20} A triazole is the first prophylactic alternative in the absence of any of these circumstances. Posaconazole has low bioavailability and high interindividual variability. In clinical practice, with a dose of 300 mg/8 h, more than half of the patients do not reach the serum concentration of 700 ng/ml that is considered to be prophylactic.¹⁷ Therefore, it is convenient to make sure that there are no additional complications that could worsen absorption, such as mucositis, diarrhea, or treatment with antacids or proton pump inhibitors. Moreover, the drug should be given with food, preferably with a high fat content, and carbonated drinks should be avoided.²⁰ If these requirements are not met, voriconazole should have priority. If there is any doubt regarding the absorption of posaconazole and its use is considered necessary, the serum concentration should be measured on the third day. A value of >350 ng/ml predicts a serum concentration of >700 ng/ml on the 7th-10th day. If the concentration is <350 ng/ml, it is important to emphasize that the patient should eat fat-rich food and increase the dose to 200 mg/6 h or 400 mg/12 h.18,19

If, for any of the above reasons (impaired liver function or metabolic interference with other drugs), micafungin or liposomal amphotericin B are alternatives.¹⁸

<u>*Micafungin.*</u> Micafungin is currently the only echinocandin indicated for the prophylaxis of hematological patients.^{21,22} In two prospective, randomized, double-blind comparative studies

Drugs prolonging QT	Drugs significantly inducing CYP3A4
Citalopram	Aprepitant
Diphenhydramine	Bosentan
Escitalopram	Carbamazepine
Fluoxetine	Panobinostat
Foscarnet	Phenytoin
Granisetron	Phenobarbital
Macrolides	Rifabutin
Metronidazol	Rifampicin
Nortriptyline	
Ondansetron	
Pentamidine	
Sunitinib	



with fluconazole and itraconazole, micafungin at a dose of 50 mg/day was significantly more efficacious than fluconazole (p=0.03) and better tolerated than itraconazole in the prevention of infection by Candida spp. and Aspergillus spp.^{23,24} Some authors have used higher doses and intermittently.^{24,25} Nevertheless, they do not report differences efficacy.^{25,26} dose-related in Micafungin has a high concentration in the alveolar macrophage, which might explain the efficacy of the dose of 50 mg/day.²⁷ From the experimental pharmacokinetic, and clinical standpoints, data indicate the possibility of giving doses of 150 mg on alternating days, 200 mg twice a day.

The echinocandins are very active drugs in vitro against Candida and Aspergillus spp. and have demonstrated their efficacy in the prophylaxis and treatment of febrile neutropenia²¹

Micafungin is the most recent echinocandin to be marketed in Spain. It provides better activity against some Candida spp. than other echinocandins do against C. glabrata²¹ and also Aspergillus spp.²¹ It has a low drug interaction potential,^{21,25,26} which should be relevant in patients requiring concomitant medication, and can be given to those with moderate liver failure when there is any doubt about the use of caspofungin.²¹ Therefore, certain patients could benefit from other therapeutic alternatives. The experience of using micafungin in the treatment of hematological patients has been widelv reported.²¹⁻²⁷ Its use has been assessed following the establishment of international guidelines that recommend micafungin in the prophylaxis and empirical treatment of febrile neutropenia.^{21,27} In Spain, some centers have accrued experience in the treatment of these patients with micafungin, and we think that it is an appropriate time to describe this experience and evaluate contributions to our specific circumstances.

Basic Issues. Four basic questions we must plan before starting antifungal prophylaxis, and that we do? That we want to treat fungus? How long do we keep?

First, and perhaps most importantly, it is to select the patient population in which we manage antifungal prophylaxis. In principle, only patients at high or moderate risk of IFI should receive prophylaxis; those at low risk should not (**Table 3**).

However, the German guidelines recommend antifungal prophylaxis in patients at low risk.¹

Table 3. Current antifungal prophylaxis for high-risk patients.

Organization/Reference	Strongest evidence-based recommendations
ECIL 3 (3) ECIL 4 (33) European Conference on Infections in Leukemia	 * Allogeneic HSCT, neutropenic phase: fluconazole (400 mg PO/IV QD)/ voriconazole (provisional, 200 mg PO BID); fluconazole (A-I), itraconazole or voriconazole (B-I; therapeutic drug monitoring [TDM] recommended), micafungin (C-I), and liposomal amphotericin B (C-III). Patients aged 13 years or older aerosolized liposomal amphotericin B and posaconazole plus TDM * Allogeneic HSCT, GVHD phase: posaconazole (200 mg PO TID)/ voriconazole (provisional, 200 mg PO BID); posaconazole plus TDM for patients aged 13 years or older (B-I), voriconazole plus TDM for patients aged 2 years or older (B-I), and itraconazole plus TDM (C-II). Other options might include intravenous liposomal amphotericin B and micafungin * Induction chemotherapy of acute leukemia: posaconazole (200 mg PO TID); itraconazole plus TDM (B-I), posaconazole plus TDM in patients aged 13 years or older (B-I), intravenous liposomal amphotericin B (B-II), and itraconazole (200 mg PO TID); itraconazole plus TDM (B-I), posaconazole plus TDM in patients aged 13 years or older (B-I), intravenous liposomal amphotericin B (B-II), and itraconazole plus TDM (C-II).
NCCN-2012(39) NCCN-2016 (40) National Comprhensive Cancer Network	 *ALL Fluconazole/Amphoptericin; Fluconazole or Micafungin • Amphotericin B * AML/ MDS with neutropenia: posaconazole; Posaconazolem (category 1) • Voriconazolem, Fluconazolem, Micafungin, or Amphotericin B productsn (all category 2B) *Auto HSCT with neutropenia, with mucositis: fluconazole/ micafungin; Fluconazole or Micafungin *Allo HSCT Fluconazole or Micafungin • Voriconazole, Posaconazole, Amphotericin B * Significant GVHD: posaconazole; posaconazole; Voriconazole, Echinocandin, Amphotericin B
IDSA (29) Infectious Diseases Society of America	 *Allogeneic HSCT, AML intensive remission-induction or salvage-induction chemotherapy, Candida Prophylaxis with Fluconazole/ itraconazole/ voriconazole/ posaconazole/ micafungin/ caspofungin *AML, MDS intensive therapy, Aspergillus prophylaxis with posaconazole *Allogeneic HSCT, Aspergillus prophylaxis with mold-active drugs in patients with prior invasive aspergillosis
ASBMT (28) Am. S. Bone Mar. Tran.	*Prolonged neutropenia: micafungin (50 mg IV QD) * GVHD: posaconazole (200 mg PO TID)



Although there have been proposals and validated studies about the definition of IFI risk groups, there is no unanimous agreement. The assignment of the patient to one or other group requires a number of factors to be evaluated a priori for example, the assumption of a certain duration of neutropenia and severity of the mucositis arising from a particular treatment. However, this risk prediction can sometimes be simplistic, as in the case of recipients of allogeneic HSCT.^{28,29} This is a group of patients considered to be at high risk, which, in practice, is made up of low-, intermediate- and high-risk subgroups of IFI. In fact, the situation is even more complicated, since a recipient of allogeneic TPH classified pretransplantation as a low-risk young patient, sibling donor with identical HLA and peripheral blood can become, during the transplantation, a patient at high risk of developing GVHD, which requires intense immunosuppression. This example shows that manifests the dynamism of the risk factors for IFI, and may change in the same patient over time.²⁸ There have been two large studies of posaconazole prophylaxis. One was carried out in patients with allogeneic HSCT and GVHD,¹⁶ and the other in 78 patients with neutropenia⁷. It should be noted that in the study of HSCT,⁸ allogeneic posaconazole was not administered during the period of neutropenia after transplantation, but only if the patient had GVHD and needed immunosuppressive treatment, which usually occurs outside the phase of neutropenia. In both studies, posaconazole more efficiently prevented aspergillosis than the comparator (fluconazole or itraconazole). In addition, posaconazole was associated with increased survival in the study of neutropenic patients.⁷ Tolerability of posaconazole was good, being comparable to that of fluconazole. Based on these two studies, posaconazole has become established as the prophylaxis of choice in neutropenic patients and allogeneic HSCT patients suffering from GVHD. Since posaconazole is available in tablet form it can be used in prophylaxis only in those patients who properly tolerate it orally; otherwise, an alternative prophylaxis should be administered.³⁰

Experience with micafungin and caspofungin has been reported with respect to candins in prophylaxis. Both require the i.v. administration which limits their use in practice outpatients. Only micafungin has antifungal prophylaxis in HSCT among its technical indications. This indication was based on two large randomized study micafungin versus fluconazole,^{23,27} both showing an efficacy equivalent to that of fluconazole and micafungin.

Amphotericin B Prophylaxis. In general, unless there are contraindications for azoles, the experience with amphotericin i.v. did not support its use prophylactically (Fleming).⁴ However when utilized in the presence of contraindication for triazoles as in patients with acute lymphoid leukemia treated with vincristine, Amphotericin shows its efficacy also in prophylaxis. In allogeneic transplantation, liposomal amphotericin at low dose was well tolerated, but the incidence of invasive fungal infections in patients receiving liposomal amphotericin B was higher than other antifungal agents in the study of Lu Tran,²⁹ whereas in the studies of Chaftary and Cordonier.³²⁻³³ High-dose prophylactic liposomal Amphotericin B in HSCT was associated with nephrotoxicity that could be aggravated by the concomitant use of other nephrotoxic agents. On the contrary in patients with acute leukemia in induction, this drug was well tolerated. Better results in patients allotransplanted have been reported by Kargar.³⁴ This study rekindled interest in the prophylactic use of liposomal amphotericin and served to increase the level of amphotericin recommendation in the updated ECIL guidelines (**Table 3**).³⁵

Recommendations. In practice, the selection of these strategies preventing IFI depends on three factors: a) availability of diagnostic techniques necessary for optimal early treatment, such as galactomannan, beta-D-glucan and high-resolution thoracic CT; b) the assessment of the likelihood of IFI for each patient (a partly subjective exercise); and c) the experience of each center (**Table 4**).³⁶ The epidemiology of IFIs can vary between centers and thereby fluency in the type of prophylaxis or type of empirical treatment used.

We must proceed on the basis that there is no single established way to prevent IFI in oncohematological patients and recipients of TPH. Therefore, the strategies vary among many highly experienced centers. Some do not employ antifungal prophylaxis for filamentous fungi, and its prevention is based on early diagnosis and early treatment, while others emphasize chemoprophylaxis with antifungal filamentous. Both strategies have their advantages and disadvantages.³⁶⁻³⁸

Classification into risk groups is proposed (**Table 4**),^{1,36} on the basis of the incidence of expected IFI, building on the proposal of the

NCCN (NCCN Clinical Practices guidelines in oncology)³⁹ and other guidelines. The 10% cut-off for IFI incidence, above which antifungal chemoprophylaxis is recommended, coincides with that adopted by the ECIL in its recommendations for prophylaxis.³⁵

Table 4. Overall risk factors	for developing	an invasive fungal	infection, invasiv	e candidiasis or inv	asive aspergillosis.
Tuble II overall libit luctors	, for acterophis	un mousive ranga	micetion, mousi	e cuntaranabis or mit	abive asperginosis.

Risk factor	Infection with yeasts	Infection with molds		
Underlying disease or condition/HSCT	 * AML * ALL * Allogeneic recipients * Mismatched donor increased risk of death from <i>Candida</i> infection in ALL 	 * AML * ALL * Increased risk of IA if hematological malignancy other than in first remission and HSCT recipients * AML patients with previous fungal infection * Allogeneic transplant recipients especially mismatched donors * High risk of IA for the first month (pre-engraftment) after transplant for autologous HSCT recipients * Iron overload * Certain genetic polymorphisms 		
Neutropenia	 * Delayed engraftment * Neutrophils < 0.1 x10⁹/L >3 wk or neutropenia <0.5 x 10⁹/L > 5 wk 	* Increased risk of IA		
GVHD	* Acute GVHD	* Moderate-to-severe GVHD grades 2-4 or by chronic GVHD		
Steroid use (to treat GVHD)	* Steroid use > 2 mg/kg >2 wk or > 1 mg/kg > 1 wk if ANC < 1 x 10 ⁹ /L > 1 wk * ALL	 * Risk of IA increased 2.1-fold * Steroid use plus development of moderate-to-severe GVHD 33% probability of IA * ALL 		
Age	* Extremes of age (< 1 and > 70 years) * Increasing risk by decade in patients undergoing HSCT	* Age > 40 years increases the risk of IA in patients undergoing HSCT.		
Other	 * Initial treatment or GVHD with cyclosporine FTBI plus cyclophosphamide (99), broad- spectrum antimicrobials (9) or indwelling catheters * High-dose cytarabine/ etoposide/ daunorubicin 	* CMV infection		
Non-LAF		* Increased risk of IA		

ALL, acute lymphocytic leukemia; AML, acute myeloid leukemia; ANC, absolute neutrophil; CMV, cytomegalovirus; FTBI, fractionated total body irradiation; GVHD, graft-versus-host disease; HSCT, hematopoietic stem cell transplantation; IA, invasive aspergillosis; non-LAF, transplant outside of laminar airflow room. Adapted by Cornely OA et al.³⁶

References:

- Cornely OA, Böhme A, Buchheidt D, Einsele H, Heinz WJ, Karthaus M et al. Primary prophylaxis of invasive fungal infections in patients with hematologic malignancies. Recommendations of the Infectious Diseases Working Party of the German Society for Haematology and Oncology. Haematologica 2009; 94:113-122. <u>http://dx.doi.org/10.3324/haematol.11665</u> PMid:19066334 PMCid:PMC2625427
- Marr KA, Bow E, Chiller T, Maschmeyer G, Ribaud P, Segal B et al. Fungal infection prevention after hematopoietic cell transplantation. Bone Marrow Transplant 2009; 44: 483–487. <u>http://dx.doi.org/10.1038/bmt.2009.259</u> PMid:19861982
- Maertens J, Marchetti O, Herbrecht R, Cornely OA, Flückiger U, Frêre P et al. European guidelines for antifungal management in leukemia and hematopoietic stem cell transplant recipients: summary of the ECIL 3—2009 Update. Bone Marrow Transplant 2011; 46:709–718. <u>http://dx.doi.org/10.1038/bmt.2010.175</u> PMid:20661235
- Fleming S, Yannakou CK, Haeusler GM, Clark J, Grigg A, Heath CH, Bajel A, van Hal SJ, Chen SC, Milliken ST, Morrissey CO, Tam CS, Szer J, Weinkove R, Slavin MA. Consensus guidelines for antifungal prophylaxis in haematological malignancy and haemopoietic stem cell transplantation, 2014. Intern Med J. 2014Dec;44(12b):1283-97.Review.

http://dx.doi.org/10.1111/imj.12595

- Rüping MJ, Vehreschild JJ, Cornely OA. Primary antifungal prophylaxis in acute myeloblastic leukemia and myelodysplastic syndrome--still an open question? Leuk Lymphoma. 2010 Jan;51(1):20-6.Review.
- http://dx.doi.org/10.3109/10428190903242602
- Vehreschild JJ, Bohme A, Buchheidt D, Arenz D, Harnischmacher U, Heussel CP, et al. A double-blind trial on prophylactic voriconazole (VRC) or placebo during induction chemotherapy for acute myelogenous leukaemia (AML). J Infect. 2007; 55:445–449. http://dx.doi.org/10.1016/j.jinf.2007.07.003 PMid:17822770
- Cornely OA, Maertens J, Winston DJ, Perfect J, Ullmann AJ, Walsh TJ et al. Posaconazole vs. fluconazole or itraconazole prophylaxis in patients with neutropenia. N Engl J Med 2007; 356: 348–359. <u>http://dx.doi.org/10.1056/NEJMoa061094</u> PMid:17251531
- Uzun O, Anaissie EJ. Antifungal prophylaxis in patients with hematologic malignancies: a reappraisal. Blood. 1995 Sep 15;86(6):2063-72. Review. PMid:7662953
- Marr KA, Crippa F, Leisenring W, Hoyle M, Boeckh M, Balajee SA et al. Itraconazole versus fluconazole for prevention of fungal infections in patients receiving allogeneic stem cell transplants. Blood 2004; 103: 1527–1533. <u>http://dx.doi.org/10.1182/blood-</u>

2003-08-2644 PMid:14525770

- Nucci M, Biasoli I, Akiti T, Silveira F, Solza C, Barreiros G, et al. A double-blind, randomized, placebo-controlled trial of itraconazole capsules as antifungal prophylaxis for neutropenic patients. Clin Infect Dis. 2000;30:300–305. <u>http://dx.doi.org/10.1086/313654</u> PMid:10671332
- Menichetti F, Del Favero A, Martino P, Bucaneve G, Micozzi A, Girmenia C, et al. Itraconazole oral solution as prophylaxis for fungal infections in neutropenic patients with hematologic malignancies: A randomized, placebo-controlled, double-blind, multicenter trial. GIMEMA Infection Program. Gruppo Italiano Malattie Ematologiche dell' Adulto. Clin Infect Dis. 1999; 28:250– 255. <u>http://dx.doi.org/10.1086/515129</u> PMid:10064240
- Wingard JR, Carter SL, Walsh TJ, Kurtzberg J, Small TN, Baden LR et al. Randomized double-blind trial of fluconazole versus voriconazole for prevention of invasive fungal infection (IFI) after allo hematopoietic cell transplantation (HCT). Blood 2010; 116: 5111. <u>http://dx.doi.org/10.1182/blood-2010-02-268151</u> PMid:20826719 PMCid:PMC3012532
- Marks DI, Pagliuca A, Kibbler CC, Glasmacher A, Heussel CP, Kantecki M, Miller PJ, Ribaud P, Schlamm HT, Solano C, Cook G; IMPROVIT Study Group. Voriconazole versus itraconazole for antifungal prophylaxis following allogeneic haematopoietic stem cell transplantation. Br J Haematol. 2011 Nov;155(3):318–327. <u>http://dx.doi.org/10.1111/j.1365-2141.2011.08838.x</u> PMid:21880032 PMCid:PMC3253339
- 14. Soysal A. Prevention of invasive fungal infections in immunocompromised patients: the role of delayed-release posaconazole. Infect Drug Resist. 2015 Sep 9;8:321-31. <u>http://dx.doi.org/10.2147/IDR.S65592</u> PMid:26392781 PMCid:PMC4573198
- Harrasser N, Banke IJ, Hauschild M, Lenze U, Prodinger PM, Toepfer A, Peschel C, von Eisenhart-Rothe R, Ringshausen I, Verbeek M.). Clinical challenge: fatal mucormycotic osteomyelitis caused by Rhizopus microsporus despite aggressive multimodal treatment. BMC Infect Dis. 2014 Sep 6;14:488. http://dx.doi.org/10.1186/1471-2334-14-488
- Ullmann AJ, Lipton JH, Vesole DH, Chandrasekar P, Langston A, Tarantolo SR, Greinix H, Morais de Azevedo W, Reddy V, Boparai N, Pedicone L, Patino H, Durrant S. Posaconazole or fluconazole for prophylaxis in severe graft-versus-host disease. N Engl J Med. 2007 Jan 25;356(4):335-47. Erratum in: N Engl J Med. 2007 Jul 26;357(4):428. http://dx.doi.org/10.1056/NEJMoa061098 PMid:17251530
- Moore JN, Healy JR, Kraft WK. Pharmacologic and clinical evaluation of posaconazole. Expert Rev Clin Pharmacol. 2015 May;8(3):321-34. <u>http://dx.doi.org/10.1586/17512433.2015.1034689</u> PMid:25916666
- Mid.25/10000
 Moriyama B, Henning SA, Leung J, Falade-Nwulia O, Jarosinski P, Penzak SR et al. Adverse interactions between antifungal azoles and vincristine: review and analysis of cases. Mycoses 2012; 55: 290–297 <u>http://dx.doi.org/10.1111/j.1439-0507.2011.02158.x</u> PMid:22126626 PMCid:PMC3345292
- Saad AH, DePestel DD, Carver PL. Factors influencing the magnitude and clinical significance of drug interactions between azole antifungals and select immunosuppressants. Pharmacotherapy 2006; 26:1730–1744. http://dx.doi.org/10.1592/phco.26.12.1730 PMid:17125435
- Dodds-Ashley E. Management of drug and food interactions with azole antifungal agents in transplants recipients. Pharmacotherapy 2010; 30:842–854. <u>http://dx.doi.org/10.1592/phco.30.8.842</u> PMid:20653361
- 21. Scott LJ. Micafungin: a review of its use in the prophylaxis and treatment of invasive Candida infections. Drugs 2012; 72:2141– 2165. <u>http://dx.doi.org/10.2165/11209970-000000000-00000</u> PMid:23083111
- 22. Hashino S, Morita L, Takahata M, Onozawa M, Nakagawa M, Kawamura T, et al. Administration of micafungin as prophylactic antifungal therapy in patients undergoing allogeneic stem cell transplantation. Int J Hematol 2008; 87:91–97. http://dx.doi.org/10.1007/s12185-007-0011-1 PMid:18224421
- Hiramatsu Y, Maeda Y, Fujii N, Saito T, Nawa Y, Hara M, et al. Use of micafungin versus fluconazole for antifungal prophylaxis in neutropenic patients receiving hematopoietic stem cell transplantation. Int J Hematol 2008; 88:588–595. http://dx.doi.org/10.1007/s12185-008-0196-y PMid:19039629
- 24. Hirata Y, Yokote T, Kobayashi K, Nakayama S, Oka S, Miyoshi T



- Langebrake C, Rohde H, Lellek H, Wolschke C, Kröger NM. Micafungin as antifungal prophylaxis in recipients of allogeneic hematopoietic stem cell transplantation: results of different dosage levels in clinical practice. Clin Transplant. 2014 Mar;28(3):286-91. doi: 10.1111/ctr.12310. http://dx.doi.org/10.1111/ctr.12310
- Jakubowski A, Papanicolaou G. Safety and Efficacy of Intermittent Intravenous Administration of High-Dose Micafungin. Clin Infect Dis. 2015 Dec 1;61 Suppl 6:S652-61. doi: 10.1093/cid/civ818. http://dx.doi.org/10.1093/cid/civ818
- Van Burik JA, Ratanatharathorn V, Stepan DE, Miller CB, Lipton JH, Vesole DH et al. Micafungin versus fluconazole for prophylaxis against invasive fungal infections during neutropenia in patients undergoing hematopoietic stem cell transplantation. Clin Infect Dis 2004; 39: 1407–1416 <u>http://dx.doi.org/10.1086/422312</u> PMid:15546073
- Tomblyn M, Chiller T, Einsele H, Gress R, Sepkowitz K, Storek J, Wingard JR, Young JA, Boeckh MJ. Guidelines for preventing infectious complications among hematopoietic cell transplant recipients: a global perspective. Preface. Bone Marrow Transplant. 2009 ;44,453-5. doi: 10.1038/bmt.2009.254.
- 29. Freifeld AG, Bow EJ, Sepkowitz KA, Boeckh MJ, Ito JI, Mullen CA, Raad II, Rolston KV, Young JA, Wingard JR; Infectious Diseases Society of America. Clinical practice guideline for the use of antimicrobial agents in neutropenic patients with cancer: 2010 update by the infectious diseases society of america. Clin Infect Dis. 2011;52:e56-93. doi: 10.1093/cid/cir073
- 30. Krishna G, Ma L, Martinho M, O'Mara E. Single-dose phase I study to evaluate the pharmacokinetics of posaconazole in new tablet and capsule formulations relative to oral suspension. Antimicrob Agents Chemother. 2012 Aug;56(8):4196-201 <u>http://dx.doi.org/10.1128/AAC.00222-12</u> PMid:22615291 PMCid:PMC3421591
- 31. Luu Tran H, Mahmoudjafari Z, Rockey M, Henry D, Grauer D, Aljitawi O,Abhyankar S, Ganguly S, Lin T, McGuirk J. Tolerability and outcome of once weekly liposomal amphotericin B for the prevention of invasive fungal infections inhematopoietic stem cell transplant patients with graft-versus-host disease. J Oncol Pharm Pract. 2016 Apr;22(2):228-34. Epub 2014 Dec 3. http://dx.doi.org/10.1177/1078155214560920
- Cordonnier C, Mohty M, Faucher C, Pautas C, Robin M, Vey N et al. Safety of a weekly high dose of liposomal amphotericin B for prophylaxis of invasive fungal infection in immunocompromised patients: PROPHYSOME Study. Intern J Antimicrob Agents 2008; 31:135–14. <u>http://dx.doi.org/10.1016/j.ijantimicag.2007.10.001</u> PMid:18162375
- Chaftari AM, Hachem RY, Ramos E, Kassis C, Campo M, Jiang Y et al. Comparison of posaconazole versus weekly amphotericin B lipid complex for the prevention of invasive fungal infections in hematopoietic stem-cell transplantation. Transplantation. 2012; 15;94:302–308. <u>http://dx.doi.org/10.1097/TP.0b013e3182577485</u> PMid:22814329
- 34. Kargar M, Ahmadvand A, Ahmadvand M, Hadjibabaie M, Gholami K, Khoee SH, Javadi MR, Ghavamzadeh A. The prevalence of antifungal agents administration in patients undergoing allogeneic hematopoietic stem cell transplantation: a retrospective study. Int J Hematol Oncol Stem Cell Res. 2013;7(3):1-8. PMid:24505528 PMCid:PMC3913151
- 35. Groll AH, Castagnola E, Cesaro S, Dalle JH, Engelhard D, Hope W, Roilides E, Styczynski J, Warris A, Lehrnbecher T; Fourth European Conference on Infections in Leukaemia; Infectious Diseases Working Party of the European Group for Blood Marrow Transplantation (EBMT-IDWP); Infectious Diseases Group of the European Organisation for Research and Treatment of Cancer (EORTC-IDG); International Immunocompromised Host Society (ICHS); European Leukaemia Net (ELN). Fourth European Conference on Infections in Leukaemia (ECIL-4): guidelines for diagnosis, prevention, and treatment of invasive fungal diseases in paediatric patients with cancer or allogeneic haemopoietic stem-cell transplantation. Lancet Oncol. 2014 Jul;15(8):e327–340.
- 36. Cornely OA, Aversa F, Cook P, Jones B, Michallet M, Shea T, Vallejo C. Evaluating the role of prophylaxis in the management of invasive fungal infections in patients with hematologic malignancy. Eur J Haematol. 2011 Oct;87(4):289-301. Epub 2011 Aug 19. http://dx.doi.org/10.1111/j.1600-0609.2011.01682.x

- 37. El-Cheikh J, Crocchiolo R, Vai A, Furst S, Bramanti S, Sarina B, Granata A,Faucher C, Mohty B, Harbi S, Bouabdallah R, Vey N, Santoro A, Chabannon C,Castagna L, Blaise D. Comparison of Three Distinct Prophylactic Agents AgainstInvasive Fungal Infections in Patients Undergoing Haplo-identical HematopoieticStem Cell Transplantation and Post-transplant Cyclophosphamide. Mediterr J Hematol Infect Dis. 2015 Aug 20;7(1):e2015048. http://dx.doi.org/10.4084/MJHID.2015.048
- Doan TN, Kirkpatrick CM, Walker P, Slavin MA, Ananda-Rajah 38. MR, Morrissey CO, Urbancic KF, Grigg A, Spencer A, Szer J, Seymour JF, Kong DC. Primary antifungal prophylaxis in adult patients with acute lymphoblastic leukaemia: a multicentre audit. J Antimicrob Chemother. 2016 Feb;71(2):497-505. doi: 10.1093/jac/dkv343. Epub 2015 Oct 30 http://dx.doi.org/10.1093/jac/dkv343
- 39. Baden LR, Bensinger W, Angarone M, Casper C, Dubberke ER, Freifeld AG, Garzon R, Greene JN, Greer JP, Ito JI, Karp JE, Kaul DR, King E, Mackler E, Marr KA, Montoya JG, Morris-Engemann A, Pappas PG, Rolston K, Segal B, Seo SK, SwaminathanS, Naganuma M, Shead DA; National Comprehensive Cancer Network. Prevention and treatment of cancer-related infections. J Natl Compr Canc Netw. 2012 Nov 1;10(11):1412-45.
- 40. Baden LR, Swaminathan S, Angarone M, Blouin G, Camins BC, Casper C, Cooper B, Dubberke ER, Engemann AM, Freifeld AG, Greene JN, Ito JI, Kaul DR, Lustberg ME, Montoya JG, Rolston K, Satyanarayana G, Segal B, Seo SK, Shoham S, Taplitz R, Topal J, Wilson JW, Hoffmann KG, Smith C. Prevention and Treatment of Cancer-Related Infections, Version 2.2016, NCCN Clinical Practice Guidelines in Oncology. J Natl Compr Canc Netw. 2016 Jul;14(7):882-913.